

Please type a plus (+) sign in this box → +

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

450
U.S. PTO
**UTILITY
PATENT APPLICATION
TRANSMITTAL**
(Only for new non-provisional applications under 37 C.F.R. § 1.53(B))

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

Attorney Docket No. P31.12-0032

First Inventor or Application Identifier Robert P. Cummins et al.

Title DISC TRAY ERROR SYSTEM

Express Mail Label No. EV388908768US

Mail Stop Patent Application
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

1. *Fee Transmittal Form e.g., PTO/SB17)
(Submit an original and a duplicate for fee processing)
2. Applicant Claims small entity status
3. Specification [Total Sheets 20]
(preferred arrangement set forth below
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings *(if filed)*
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. § 113) [Total Sheets 8]
5. Oath or Declaration [Total Sheets 3]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

7. CD-ROM or CD-R in duplicate, large table or Computer program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)
 - a. Computer Readable Copy
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 Copies); or
 - ii. Paper
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 C.F.R. § 3.73(b) Statement Power of Attorney (when there is an assignee)
11. English Translation Document (if applicable)
12. Information Disclosure Statement (IDS)/PTO – 1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. Nonpublication Request Under 35 USC 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
17. Other: Preliminary Amendment [Total Sheets 3]

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in part (CIP) of prior application No: /

Prior application information: Examiner Group/Art Unit: _____

FOR CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE

Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or Correspondence address below

Name	Nickolas E. Westman WESTMAN CHAMPLIN & KELLY				
Address	Suite 1600 – International Centre 900 South Second Avenue				
City	Minneapolis	State	MN	Zip Code	55402-3319
Country	USA	Telephone	(612) 334-3222		Fax (612) 334-3312

Name (Print/type)	Nickolas E. Westman		Registration No. (Attorney/Agent)	20,147
Signature			Date	1/30/04

FEE TRANSMITTAL

<i>Complete if Known</i>																																																																																																																																																															
Application No.																																																																																																																																																															
Filing Date																																																																																																																																																															
First Named Inventor			Robert P. Cummins et al.																																																																																																																																																												
Title			DISC TRAY ERROR SYSTEM																																																																																																																																																												
Group Art Unit																																																																																																																																																															
Examiner Name																																																																																																																																																															
Total Amount of Payment \$ 425			Atty. Docket Number P31.12-0032																																																																																																																																																												
METHOD OF PAYMENT (Check One)			FEE CALCULATION (Continued)																																																																																																																																																												
1. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fee required under 37 C.F.R. § 1.16 and 1.17, including any petition fee, and credit any over payments to Deposit Account No. <u>23-1123</u> . Westman, Champlin & Kelly, P.A.			3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th rowspan="2">Fee Description</th> <th rowspan="2">Fee Paid</th> </tr> <tr> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> </tr> <tr> <th>Code</th> <th>(\$)</th> <th>Code</th> <th>(\$)</th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>1051</td> <td>130</td> <td>2051</td> <td>65</td> <td>Surcharge - Late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052</td> <td>25</td> <td>Surcharge - Late provisional Filing Fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053</td> <td>130</td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812</td> <td>2,520</td> <td>For Filing a Request for Reexamination. (ex parte)</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>420</td> <td>2252</td> <td>210</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>950</td> <td>2253</td> <td>475</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,480</td> <td>2254</td> <td>740</td> <td>Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>2,010</td> <td>2255</td> <td>1,005</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1402</td> <td>330</td> <td>2402</td> <td>165</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>290</td> <td>2403</td> <td>145</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>1814</td> <td>110</td> <td>2814</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452</td> <td>55</td> <td>Petition to Revive - unavoidable</td> <td></td> </tr> <tr> <td>1453</td> <td>1,330</td> <td>2453</td> <td>665</td> <td>Petition to Revive - unintentional</td> <td></td> </tr> <tr> <td>1501</td> <td>1,330</td> <td>2501</td> <td>665</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>1502</td> <td>480</td> <td>2502</td> <td>240</td> <td>Design issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>1460</td> <td>130</td> <td>1460</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>1807</td> <td>50</td> <td>1807</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>1806</td> <td>180</td> <td>1806</td> <td>180</td> <td>Submission of Information Disclosure Statement</td> <td></td> </tr> <tr> <td>8021</td> <td>40</td> <td>8021</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td>40</td> </tr> <tr> <td colspan="6">Other Fee (specify) _____</td> </tr> <tr> <td colspan="3"></td> <td colspan="3">Subtotal (2) \$ 0</td> </tr> <tr> <td colspan="3"></td> <td colspan="3">Subtotal (3) \$ 40</td> </tr> </tbody> </table>			Large Entity		Small Entity		Fee Description	Fee Paid	Fee	Fee	Fee	Fee	Code	(\$)	Code	(\$)			1051	130	2051	65	Surcharge - Late filing fee or oath		1052	50	2052	25	Surcharge - Late provisional Filing Fee or cover sheet		1053	130	1053	130	Non-English specification		1812	2,520	1812	2,520	For Filing a Request for Reexamination. (ex parte)		1251	110	2251	55	Extension for reply within first month		1252	420	2252	210	Extension for reply within second month		1253	950	2253	475	Extension for reply within third month		1254	1,480	2254	740	Extension for reply within fourth month		1255	2,010	2255	1,005	Extension for reply within fifth month		1402	330	2402	165	Filing a brief in support of an appeal		1403	290	2403	145	Request for oral hearing		1814	110	2814	55	Terminal Disclaimer Fee		1452	110	2452	55	Petition to Revive - unavoidable		1453	1,330	2453	665	Petition to Revive - unintentional		1501	1,330	2501	665	Utility/Reissue issue fee (inc. advance copies)		1502	480	2502	240	Design issue fee (inc. advance copies)		1460	130	1460	130	Petitions to the Commissioner		1807	50	1807	50	Petitions related to provisional applications		1806	180	1806	180	Submission of Information Disclosure Statement		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40	Other Fee (specify) _____									Subtotal (2) \$ 0						Subtotal (3) \$ 40		
Large Entity		Small Entity		Fee Description	Fee Paid																																																																																																																																																										
Fee	Fee	Fee	Fee																																																																																																																																																												
Code	(\$)	Code	(\$)																																																																																																																																																												
1051	130	2051	65	Surcharge - Late filing fee or oath																																																																																																																																																											
1052	50	2052	25	Surcharge - Late provisional Filing Fee or cover sheet																																																																																																																																																											
1053	130	1053	130	Non-English specification																																																																																																																																																											
1812	2,520	1812	2,520	For Filing a Request for Reexamination. (ex parte)																																																																																																																																																											
1251	110	2251	55	Extension for reply within first month																																																																																																																																																											
1252	420	2252	210	Extension for reply within second month																																																																																																																																																											
1253	950	2253	475	Extension for reply within third month																																																																																																																																																											
1254	1,480	2254	740	Extension for reply within fourth month																																																																																																																																																											
1255	2,010	2255	1,005	Extension for reply within fifth month																																																																																																																																																											
1402	330	2402	165	Filing a brief in support of an appeal																																																																																																																																																											
1403	290	2403	145	Request for oral hearing																																																																																																																																																											
1814	110	2814	55	Terminal Disclaimer Fee																																																																																																																																																											
1452	110	2452	55	Petition to Revive - unavoidable																																																																																																																																																											
1453	1,330	2453	665	Petition to Revive - unintentional																																																																																																																																																											
1501	1,330	2501	665	Utility/Reissue issue fee (inc. advance copies)																																																																																																																																																											
1502	480	2502	240	Design issue fee (inc. advance copies)																																																																																																																																																											
1460	130	1460	130	Petitions to the Commissioner																																																																																																																																																											
1807	50	1807	50	Petitions related to provisional applications																																																																																																																																																											
1806	180	1806	180	Submission of Information Disclosure Statement																																																																																																																																																											
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40																																																																																																																																																										
Other Fee (specify) _____																																																																																																																																																															
			Subtotal (2) \$ 0																																																																																																																																																												
			Subtotal (3) \$ 40																																																																																																																																																												
Number Claims			Prior**	Extra	Fee from Below																																																																																																																																																										
Total	14	20	0	9	0																																																																																																																																																										
Indep.	2	3	0	43	0																																																																																																																																																										
Multiple Dependent Claims																																																																																																																																																															
** Insert 3 and 20, or number previously paid if greater; Reissue see below																																																																																																																																																															
Large Entity Fee <u>Code</u>			Small Entity Fee <u>Code</u>																																																																																																																																																												
			Description																																																																																																																																																												
1202	18	2202	9	Claims in excess of 20																																																																																																																																																											
1201	86	2201	43	Independent claims in excess of 3																																																																																																																																																											
1203	290	2203	145	Multiple Dependent Claims																																																																																																																																																											
1204	86	2204	43	Reissue Independent Claims over Original Patent																																																																																																																																																											
1205	18	2205	9	Reissue claims in excess of 20 and over original patent																																																																																																																																																											

Signature

(Nikolas E. Westman)

Reg. No. 20,147

Date

1/30/09

Deposit Account No. 23-1123